



# Financial Institutions Consulting Services

Weaver's financial institutions practice has grown to be among the top providers of internal audit, compliance, information systems and financial statement audit services. We perform services for financial institutions ranging in size from \$50 million to more than \$20 billion in assets. We service banks, mortgage companies, insurance companies, loan originators, third-party service providers, hedge funds and collection agencies, among others.

## Services Provided to Financial Institutions

- ▶ Internal audits
- ▶ Independent interest rate risk reviews
- ▶ Liquidity risk management reviews
- ▶ FDICIA & SOX compliance engagements
- ▶ Regulatory compliance audits
- ▶ BSA/AML compliance audits
- ▶ Fair lending compliance
- ▶ Consumer Financial Protection Bureau (CFPB) readiness assessments
- ▶ Information technology audits
- ▶ Gramm-Leach-Bliley Act reviews
- ▶ Enterprise risk management / risk assessments
- ▶ Service organization control (SOC) audits
- ▶ Forensic accounting and investigations
- ▶ Cybersecurity services
- ▶ Financial statement audits

## Why Weaver?

We're passionate about client service. We provide creative and practical risk management solutions to help our financial institution clients thrive so they can focus on providing the highest quality of service to their customers. Our clients have found there are a number of considerations in selecting Weaver as a service provider, including:

- ▶ Extensive financial institutions experience
- ▶ Qualifications and reputation
- ▶ Experienced client service team
- ▶ Partner involvement and professional staff continuity
- ▶ Effective communication
- ▶ Understanding financial institution regulations, operations and accounting standards
- ▶ Assisting clients in evaluating options for implementing practical solutions for managing risk
- ▶ Reasonable fees
- ▶ Ability to provide a depth of services to meet future professional service needs



## Financial Services Team Leaders

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**James Mihills, CPA**

Partner-in-Charge, Financial Institutions Services  
james.mihills@weaver.com  
817.882.7361

**Kevin Sanford, CPA**

National Strategy Leader, Financial Services  
kevin.sanford@weaver.com  
832.320.3249

**Bruce Zaret, CPA**

Partner, Risk Advisory Services  
bruce.zaret@weaver.com  
972.448.9232

**Neha Patel, CPA, CISA**

Partner-in-Charge, IT Advisory Services  
neha.patel@weaver.com  
972.448.9804